Bernard L. Madoff Investment Securities LLC
Case No 08-01789-BRL
U.S. Bankruptcy Court for the Southern District of New York
Cla..n Number: 011552

CUSTOMER CLAIM

Claim Number RECEIVED

Date Received JUN 26 2009

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: Redacted ____________

HOME: ____________

Taxpayer I.D. Number (Social Security No.)

____

Account Number: 1-L0013-3 & 1-L0013-4

Elaine Stein Roberts IRA
Redacted

(If incorrect, please change)

NOTE: **BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

****

1. Claim for money balances as of **December 11, 2008**_:
   a. The Broker owes me a Credit (Cr.) Balance of $____________
   b. I owe the Broker a Debit (Dr.) Balance of $____________

c. If you wish to repay the Debit Balance, please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC." If you wish to make a payment, **it must be enclosed** with this claim form. $______

d. If balance is zero, insert "None." NONE

2. Claim for securities as of **December 11, 2008:**

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

	YES	NO
a. The Broker owes me securities	✓	
b. I owe the Broker securities		✓

c. If yes to either, please list below:

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds: The Broker Owes Me (Long)	I Owe the Broker (Short)
SEE ATTACHED STATEMENT		✓	

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.
PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

		YES	NO
3.	Has there been any change in your account since December 11, 2008? If so, please explain.		✓
4.	Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		✓
5.	Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		✓
6.	Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		✓
7.	Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		✓
8.	Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. ______ ✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Carole Neville, Sonnenschein Nath & Rosenthal LLP, 1221 Avenue of the Americas, 25th Floor, New York, NY 10020.

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN $50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF. *

Date 6/24/09 Signature Elaine Stein Roberts

Date ______ Signature ______

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

* This form includes and incorporates in full the attached Addendum. Customer reserves the right to amend the claim for any purpose, including without limitation, to add interest, costs and other losses associated with this account.

10292969

ADDENDUM

Customer: **Elaine Stein Roberts IRA**

Address: Redacted

Account #: **1-L0013-3**
1-L0013-4

Neuberger Berman Statement – Closing balance net equity $9,024,952.58

Elaine Stein Roberts invested her IRA funds (the "Stein Roberts IRA") in Bernard L. Madoff Investment Securities LLC ("BMIS") through the Stanley Lehrer and Stuart Stein Joint Venture Account ("Joint Venture"). The Joint Venture was the vehicle for individual investments in BMIS that were either below the investment limit set by Madoff or aggregated with other accounts in accordance with and to satisfy Madoff's investment requirements. Notwithstanding the investments through the Joint Venture, each account was treated for all purposes as a separate investment in BMIS.

US Trust became the initial custodian of the Stein Roberts IRA in 1990. In or about 2004, Neuberger Berman became the custodian for the Stein Roberts IRA. The net equity of the account is reflected in annexed Neuberger Berman statement. Based upon the above, Stein Roberts IRA account should be treated as a separate account and this claim allowed based upon the customer's net equity.

Client Statement

For the period 04/01/2009 to 04/30/2009

NEUBERGER BERMAN

F/B/O ELAINE S ROBERTS
IRA
NEUBERGER BERMAN, LLC CUST

Ridge Account : [redacted] MHM
Base Currency : USD
NB Account Number : [redacted]

Account Summary	Opening Balance[9][10]	Closing Balance[9]	Cost Basis[1][4][8]	Estimated Annual Income[8] Amount	% Yield[10]
CASH	(55.15)	0.00	0.00	0.00	0.00
NON-DISCRETIONARY	9,025,007.73	0.00	0.00	0.00	0.00
TOTAL VALUE OF ACCOUNT	9,024,952.58	0.00			

Account Composition	% of Portfolio
NOT AVAILABLE	

Y-T-D Market Value Change Summary [13]	
PREVIOUS YEAR ENDING VALUE	9,125,228.78
NET DEPOSITS AND WITHDRAWALS	(9,125,298.44)
INCOME AND EXPENSE ITEMS (NET)	69.66
NET CHANGE IN ASSET VALUE	0.00
CURRENT PERIOD ENDING VALUE	0.00

Income Summary [4]	This Month	Year-To-Date
MONEY MKT. FUND DIVIDENDS	0.00	18.03

Y-T-D Net Realized Gains/Losses [2][3][4]	
TOTAL LONG TERM	N/A
TOTAL SHORT TERM	N/A

MWPTAP00514933

030815 NEUB 000000 020857

Client Statement

For the period 04/01/2009 to 04/30/2009

NEUBERGER BERMAN

NB Account Number : [redacted] Ridge Account : [redacted] MHM
Base Currency : USD
F/B/O ELAINE S ROBERTS
IRA
NEUBERGER BERMAN, LLC CUST

Neuberger Berman, LLC
605 Third Avenue
New York, NY 10158-3698

Your account cleared through the courtesy of:
Ridge Clearing & Outsourcing Solutions, Inc.

Account Value Summary:
Last Period
9,024,952.58
This Period
0.00

020857 02 AT 0.471 00080 NBDD0103

F/B/O ELAINE S ROBERTS
IRA
NEUBERGER BERMAN, LLC CUST
3600 OAKVIEW COURT
DELRAY BEACH FL 33445-3924

MESSINGER LEVINE GLEASON GROUP
Neuberger Berman, LLC
605 Third Avenue
New York, NY 10158-3698
Email : MLG@NB.COM
Phone : 212.476.5955
Fax : 212.476.5962

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Items For Your Attention

Please see the Special Messages section for important items regarding your statement. The instructions for wiring funds to your account or to remit advisory fees changed in September 2008. Please contact your Neuberger Berman representative for our current instructions.

MWPTAP00514934

030814 NEUB 000000 020857

Client Statement

NEUBERGER BERMAN

For the period 04/01/2009 to 04/30/2009

F/B/O ELAINE S ROBERTS
IRA
NEUBERGER BERMAN, LLC CUST

Ridge Account : [redacted] MHM
Base Currency : USD
NB Account Number : [redacted]

Monthly Activity[1]

OTHER ACTIVITY	Settlement Date	Transaction	Quantity	Description	Amount
USD					
	04/16/2009	JOURNAL		CR INV ADV FEE 01/01-03/31/09	55.15
	04/29/2009	ADJUSTMENT	(9,025,007.73)	JOINT VENTURE PARTNERSHIP STANLEY I. LEHRER & STUART M. STEIN JOINT VENTURE REMOVE POSITION AS PER MONTHLY UPDATE	
TOTAL JOURNAL					55.15
TOTAL NET OTHER ACTIVITY / USD					55.15

030816 NEUB 000000 020857

MWPTAP00514935

Sonnenschein
SONNENSCHEIN NATH & ROSENTHAL LLP

1221 Avenue of the Americas
New York, NY 10020-1089
212.768.6700
212.768.6800 fax
www.sonnenschein.com

Carole Neville
212.768.6889
cneville@sonnenschein.com

June 25, 2009

VIA FEDERAL EXPRESS

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff
Investment Securities LLC
Claims Processing Center
2100 McKinney Avenue, Suite 800
Dallas, TX 75201

Re: Elaine Stein Roberts IRA
Customer Claim
Account Numbers 1-L0013-3 & 1-L0013-4

Dear Sir/Madam:

Enclosed herewith please find an original and copy of the claim of Elaine Stein Roberts IRA, account numbers 1-L0013-3 & 1-L0013-4. Please file the original on our behalf and return a file stamped copy to us in the enclosed self-addressed stamped envelope.

Thank you.

Very truly yours,

Carole Neville / JC

Carole Neville

Enclosures

10293260

PS|Ship - FedEx Label

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Insert airbill here

From: Origin ID: QNYA (212)768-6700
Carole Neville
Sonnenschein Nath & Rosenthal LLP
1221 Avenue of the Americas

New York, NY 10020

FedEx Express

E

Ship Date: 25JUN09
ActWgt: 1 LB
CAD: 5772073/WBUS0200
Account#: S *********

Delivery Address Bar Code

RT 777 1 B
FZ 778 0055 06.26

SHIP TO: (212)398-8499 BILL SENDER
Irving H. Picard, Esq.
Trustee for BMIS
2100 McKinney Ave Ste 800

Dallas, TX 752016910

Ref # 20001068-0001.CQN
Invoice #
PO #
Dept #

TRK# 0201 7921 5454 0055

FRI - 26JUN A1
PRIORITY OVERNIGHT
DSR

75201
TX-US
DFW

XH RBDA

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